

# Serotonin receptor 1A–modulated phosphorylation of glycine receptor $\alpha$ 3 controls breathing in mice

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Rhythmic breathing movements originate from a dispersed neuronal network in the medulla and pons. Here, we demonstrate that rhythmic activity of this respiratory network is affected by the phosphorylation status of the inhibitory glycine receptor  $\alpha 3$  subtype (GlyR $\alpha 3$ ), which controls glutamatergic and glycinergic neuronal discharges, subject to serotonergic modulation. Serotonin receptor type 1A–specific (5-HTR<sub>1A</sub>–specific) modulation directly induced dephosphorylation of GlyR $\alpha 3$  receptors, which augmented inhibitory glycine-activated chloride currents in HEK293 cells coexpressing 5-HTR<sub>1A</sub> and GlyR $\alpha 3$ . The 5-HTR<sub>1A</sub>–GlyR $\alpha 3$  signaling pathway was distinct from opioid receptor signaling and efficiently counteracted opioid-induced depression of breathing and consequential apnea in mice. Paradoxically, this rescue of breathing originated from enhanced glycinergic synaptic inhibition of glutamatergic and glycinergic neurons and caused disinhibition of their target neurons. Together, these effects changed respiratory phase alternations and ensured rhythmic breathing in vivo. GlyR $\alpha 3$  signaling pathway offers a mechanistic basis for pharmacological treatment of opioid-induced apnea and other breathing disturbances caused by disorders of inhibitory synaptic transmission, such as hyperekplexia, hypoxia/ischemia, and brainstem infarction.

#### Introduction

The motor control of regular rhythmic breathing of mammals originates from a neuronal network in the lower brainstem that includes the bilateral ventral groups of respiratory neurons (VRG) with the pre-Bötzinger complex (pre-BötC; refs. 1, 2) and receives important feedback control through postinspiratory neurons of the pontine respiratory group (PRG; refs. 3, 4). This distributed respiratory network contains various types of respiratory neurons (5) capable of generating alternating burst discharges that are necessary for rhythmic breathing movements. Under normal in vivo conditions, rhythm generation depends on coordinated integration of excitatory and inhibitory synaptic inputs to the neurons that determines membrane potential fluctuations and periodic adjustment of voltage-gated currents involved in neuronal bursting (6-8). Inhibitory synaptic interactions are vital, as they both impose the voltage changes required for initiation of endogenous respiratory bursting and control the burst pattern. Another indispensable function of these interactions is to terminate respiratory bursts during transitions among the distinct phases of the respiratory cycle that are determined by activity of antagonistic neurons (8-10). This critical process of burst termination is largely controlled by glycine receptors (GlyRs), since dysfunction or deletion of inhibitory glycinergic

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transmission abolishes regular breathing (11–13). This has clinically significant consequences, such as prolonged breath-holding episodes (also called inspiratory apnea or apneusis; refs. 14–16), leading to deleterious hypoxic conditions as seen in genetic diseases, such as Rett syndrome (17) or hyperekplexia (commonly known as startle disease; ref. 13). Similar breathing difficulties can also occur following ischemia and stroke (18, 19) and as a result of deep anesthesia (20), opiate abuse (21), head trauma, degenerative brain diseases (15), and alcoholism (22).

Treatments involving pharmacological manipulations of intracellular signaling pathways, particularly those involving the serotonin receptor (5-HTR) family, have been considered in the past (23), but their intracellular basis have not been fully established. These and other GPCRs (24) are abundantly expressed in the VRG and pre-BötC (21, 25). Many of their signaling pathways converge on adenylyl cyclase (AC), which regulates intracellular cAMP levels and, consequently, the activity of cAMP-dependent PKA. Accordingly, it is of both clinical and basic importance to unravel the different processes that determine the dynamic adjustments within neuronal networks associated with rhythmic breathing through the regulation of intracellular cAMP levels in vivo (14-16, 23, 25). One specific question concerns the convergence and separation of agonistic and antagonistic GPCR signaling within respiratory cells. The hypothesis tested in this study was that intracellular signaling occurs in a receptor-specific pattern along parallel intracellular pathways. Specifically,



#### Figure 1

Expression of GlyR $\alpha$ 3 in the brainstem. GlyR $\alpha$ 3 immunoreactivity (GlyR $\alpha$ 3-IR) showed almost-ubiquitous expression in the brainstem. Although there was no coexpression of GlyR $\alpha$ 3 in motor nuclei like the facial nucleus (VII; **A**) and hypoglossal nucleus (XII; **D**) with GlyT2-eGFP neurons, GlyR $\alpha$ 3 was expressed on glycinergic neurons within the BötC (**B**), pre-BötC (**C**), and spinal trigeminal nucleus (Sp5; **E**). Higher-magnification views of the merged images are shown at right. Scale bars: 200  $\mu$ m (**A** and **D**); 100  $\mu$ m (**B**, **C**, and **E**); 50  $\mu$ m (higher magnification). AP, area postrema; IO<sub>Pr</sub>, principal nucleus of the inferior olive; NA, nucleus ambiguus; NTS, nucleus of the solitary tract; RVLM, rostral ventrolateral medulla.

we investigated the molecular mechanisms of the interaction between glycinergic and 5-HTR control of rhythmic breathing (23, 25). Our findings demonstrate that an interaction between serotonin receptor type 1A (5-HTR<sub>1A</sub>) and inhibitory glycine receptor  $\alpha$ 3 subtype (GlyR $\alpha$ 3) stabilized breathing and counteracted its  $\mu$ -opioid receptor-induced ( $\mu$ OR-induced) depression. These findings may lead to novel therapeutic interventions to treat breathing disorders.

#### Results

*Receptor profiling in excitatory and inhibitory respiratory neurons.* Glycinergic inhibition of antagonistic neurons is a fundamental process in respiratory network operation that enables stable rhythmic breathing. It is necessary to regulate steady augmentation of inspiratory activity required for continuous inhalation and afterward terminate inspiratory activity to allow its slow decline during postinspiration, as seen in efferent phrenic nerve (PN) output (10).

## research article



#### Figure 2

Receptor profiling of glycinergic inhibitory pre-BötC neurons. (A) Schematic representation of the pre-BötC with its anatomical landmarks. (B) Expression of eGFP under control of the neuronal GlyT2 promoter demarcates inhibitory glycinergic neurons (green) within the pre-BötC. (C) Respiratory activity of glycinergic neurons (see B, inset) was identified by correlation of rhythmic depolarizations of the membrane potential (top trace) with the changes of extracellular field potentials (Ve) recorded from the pre-BötC shown in integrated and original form. (D) Expression of the neuron-specific nuclear protein NeuN (Cy5; red). NeuN immunoreactivity was performed to determine the amount of inhibitory GlyT2eGFP-expressing neurons in the pre-BötC. (E) GlyT2-eGFP-positive neurons expressed abundant 5-HTR<sub>1A</sub> (Cy5; red) in the pre-BötC. (F) About 18% of GlyT2-eGFP-positive neurons were immunostained for NK-1R (Cy5; red), a known marker of respiratory neurons. This corresponds with the approximately 20% of neurons that revealed ongoing inspiratory discharges, as verified in visually controlled wholecell recording with microelectrodes (see **B** and **C**). (**G**) Expression of  $\mu$ ORs on GlyT2-eGFP–positive neurons. (H-J) Positive immunolabeling of GlyRa3 included not only GlyT2-eGFP-negative cells (I), but also GlyT2-eGFP-positive neurons (green), as illustrated by individual cell staining (J). Boxed regions in H are shown at higher magnification in I and J, as indicated. Scale bars: 100 µm (B); 20 µm (B, inset, and G); 50 µm (D-F and H); 10 µm (I and J).

This important function correlates with the large number of glycinergic VRG and pre-BötC neurons that are characterized by the expression of the neuronal glycine transporter 2 (GlyT2). We used transgenic mice expressing enhanced GFP (eGFP) under the control of the GlyT2 promoter (GlyT2-eGFP; ref. 26) to study receptor expression on glycinergic neurons. First, we analyzed the expression of GlyR\alpha3 and found it to be almost ubiquitously expressed

In general, GlyRa3 was found in GlyT2-negative cells, but unexpectedly, in the BötC and pre-BötC, which is essential for respiratory rhythm generation (1, 2), GlyR $\alpha$ 3 was also expressed in GlyT2-positive cells (Figure 1, B and C). We focused our immunohistochemical study on the entire 200-um extension of the bilateral pre-BötC (5 consecutive 40-µm transverse sections; n = 3 mice), which revealed that glycinergic neurons represented 55.50% ± 1.56% of total neurons (58.07 ± 3.07 GlyT2-eGFP cells of 103.70 ± 2.82 NeuN-immunoreactive cells/section), as identified by labeling with the vertebrate neuron-specific nuclear protein neuronal nuclei (NeuN; Figure 2D). A large number of the GlyT2eGFP-positive cell population also expressed the neurokinin-1 receptor (NK-1R), which is characteristic for respiratory neurons (27, 28). GlyT2-eGFP-positive neurons represented 18.21% ± 0.79% (20.80 ± 1.35 NK-immunoreactive cells of 113.00 ± 3.11 GlyT2-eGFP cells/section) of NK-1R-positive cells that were intermingled with NK-1R-positive, but GlyT2eGFP-negative, neurons (Figure 2F). Whole-cell recordings in the in vitro brainstem slice preparation confirmed that greater than 20% of these GlyT2-eGFP-labeled glycinergic inhibitory neurons exhibited ongoing inspiratory discharges (Figure 2, B and C, and ref. 29).

in the whole brainstem (Figure 1).

Another key finding was prominent GlyRa3 immunoreactivity (30) in pre-BötC neurons (Figure 2H), including NK-1R-positive excitatory respiratory neurons and GlyT2-eGFP-labeled inhibitory neurons. The numerous GlyRa3 puncta present on the surface of inhibitory glycinergic neurons (Figure 2, I and J) indicated that GlyT2-positive cells themselves receive inhibitory glycinergic

inputs. Our inference is that not only excitatory, but also inhibitory, neurons receive afferent glycinergic inputs, which hence must disinhibit neurons they innervate. This makes the rhythm-generating pre-BötC (1) an important target for modulating adjustment of breathing movements in vivo. However, by taking a systems overview, such modulation must involve not only preinspiratory or early-inspiratory neurons in pre-BötC (28), but also glycinergic



#### Figure 3

Altered modulation of the respiratory network in  $GIra3^{-/-}$  mice and 5-HTR<sub>1A</sub>-induced augmentation of GlyRa3 function via PKA in recombinant systems. (**A**) HEK293 cell expressing 5-HTR<sub>1A</sub>-eGFP. (**B**) Time stability profile for  $I_{Gly}$  recorded from cells coexpressing GlyRa3L and 5-HTR<sub>1A</sub>. Currents were activated by rapid application of glycine (EC<sub>20</sub>) before and after activation of 5-HTR<sub>1A</sub> by 5-HT (green arrow), with (white circles) or without (black squares) coapplication of the selective 5-HTR<sub>1A</sub> inhibitor WAY 100635. All currents were normalized to  $I_{Gly}$  at 0 minutes ( $I_{Gly^{\circ}}$ ). Time points at which the currents in **C** were taken are denoted. (**C**) Individual  $I_{Gly}$  activated by glycine, before and after 5-HTR<sub>1A</sub> activation and before and after coapplication of 5-HT and WAY 100635. (**D**) HEK293 cell expressing  $\mu$ OR-mCherry. (**E** and **F**) Time stability profiles for  $I_{Gly}$  from cells coexpressing GlyRa3L and  $\mu$ OR, analogous to **B** and **C**. (**G** and **H**) HEK293 cells expressing 5-HTR<sub>1A</sub> and GlyRa3 (**G**) and immunoblot detection (**H**) of the phosphorylation status of GlyRa3 before and after drug application. Lane 1, negative control; lane 2, before drug application; lanes 3 and 4, GlyRa3 phosphorylation (decreased after selective activation of 5-HTR<sub>1A</sub>); lane 5, recovery of phosphorylation (lane 6), while Rp-cAMP, a cAMP analog and competitive inhibitor of cAMP-dependent protein kinases, increased phosphorylation (lane 7). (**I**) Statistics after densitometric measurement (n = 4). \*P < 0.05. (**J** and **K**) HEK293 cells expressing  $\mu$ OR and GlyRa3 (**J**) and immunoblot analogous to **H** (**K**). Scale bars: 5  $\mu$ m (**A** and **D**); 20  $\mu$ m (**G** and **J**).

postinspiratory neurons, which are localized in the rostral VRG and also in the pons (3, 4).

Serotonergic innervation of inhibitory glycinergic neurons. Since synaptic inhibition is modulated by serotonin (5-HT; ref. 21), we examined

the expression profiles of 5-HTR<sub>1A</sub> and  $\mu$ ORs in inhibitory glycinergic neurons. To verify 5-HTR<sub>1A</sub> expression on glycinergic neurons within the bilateral pre-BötC, we analyzed in total 2,860 GlyT2eGFP positive cells (5 consecutive 40- $\mu$ m transverse sections; *n* = 5 mice). The majority of these glycinergic neurons,  $86.25\% \pm 1.11\%$ (98.60 ± 1.97 cells expressing 5-HTR<sub>1A</sub> of 114.40 ± 1.96 GlyT2-eGFP cells/section; *n* = 5), revealed strong 5-HTR<sub>1A</sub> immunoreactivity (Figure 2E). To also verify µOR expression in these glycinergic neurons, we analyzed in total 1,423 GlyT2-eGFP neurons and observed that a majority 90.94% ± 1.06% (86.27 ± 1.66 µOR-immunoreactive cells of 94.87 ± 1.51 GlyT2-eGFP cells/section; *n* = 3) also expressed µOR (Figure 2G). In conclusion, all types of pre-BötC neurons seemed to be subject to G<sub>i/o</sub>-controlled (e.g., via 5-HTR<sub>1A</sub> and µOR) AC-cAMP-PKA signaling pathways.

Serotonergic modulation of GlyRa3. The continuous release of serotonin from afferent terminals of spontaneously active Raphé nuclei (31, 32) and the strong expression of GlyRa3 in both excitatory and inhibitory pre-BötC neurons suggested the possibility of in vivo modulation of glycinergic inhibition via changes in PKA-mediated GlyR phosphorylation. The likely target is serine 346 within the GlyR $\alpha$ 3 M3-M4 loop, which forms part of a strong PKA consensus sequence (RESR; refs. 30, 33). To demonstrate that GlyR $\alpha$ 3 is capable of forming the molecular target for 5-HT, we coexpressed eGFP-tagged 5-HTR<sub>1A</sub> and GlyR $\alpha$ 3 in HEK293 cells (Figure 3, A and G). Activation of 5-HTR<sub>1A</sub> with 1  $\mu$ M serotonin enhanced Cl<sup>-</sup> currents activated by 100 µM glycine (referred to herein as glycine-activated Cl<sup>-</sup> currents  $[I_{Gly}]$ ; equivalent to the  $EC_{20}$ ) by up to 30% (Figure 3, B and C; n = 6/8 cells). The effect was specifically inhibited by application of the selective 5-HTR<sub>1A</sub> antagonist WAY 100635 (2  $\mu$ M; *n* = 4; Figure 3, B and C). This suggests that activation of 5-HTR<sub>1A</sub> coupled to G<sub>i/o</sub> inhibits PKA, inducing steady dephosphorylation of GlyRa3 and thus potentiating  $I_{Glv}$ . Indeed, Western blotting with a phosphospecific monoclonal antibody that selectively recognizes GlyRa3 phospho-serine 346 (see Methods) revealed that activation of 5-HTR<sub>1A</sub> by 8-hydroxy-2-(di-N-propylamino)-tetralin hydrobromide (8-OH-DPAT; 50 µM) induced GlyRa3 dephosphorylation by greater than 20% (decline from 1.00 AU unstimulated control to 0.7726 ± 0.0245 AU 10 minutes after 5-HTR<sub>1A</sub>; P < 0.05; n = 4; Figure 3, H and I). Importantly, in similar heterologous expression studies, we also demonstrated that selective µOR stimulation with 30 nM fentanyl did not change GlyRa3 phosphorylation in HEK293 cells coexpressing GlyRa3 and µOR (Figure 3, J and K). This lack of effect following µOR activation was also evident from monitoring the time stability of  $I_{Ghy}$  after application of 30 nM fentanyl to HEK293 cells coexpressing  $\mu$ OR and GlyR $\alpha$ 3 (*n* = 6; Figure 3, D–F). Thus, under normal conditions, GlyR $\alpha$ 3 is selectively modulated by 5-HTR<sub>1A</sub>, but not by µOR activation.

Characterization of the GlyR $\alpha$ 3 knockout mouse. In the VRG, GlyR $\alpha$ 3-deficient mature mice (Glra3-/-; age P30, n = 3) expressed the genes for GlyR $\alpha$ 1 (Glra1) and also weakly GlyR $\alpha$ 2 (Glra2), but lacked GlyR $\alpha$ 4 (Glra4), as did WT mice (age P30; n = 3), while GlyR $\beta$  (Glrb) and gephyrin (Gphn) were maintained (Figure 4D). The mRNA level for GlyT2 (Slc6a5) was slightly reduced, but that for glycine transporter 1 (Slc6a9) was unchanged.

Functional testing of the network response to 5-HTR<sub>1A</sub> modulation of GlyR $\alpha$ 3 was performed using the in situ perfused mouse brainstem–spinal cord preparation, which preserves the complete respiratory network and generates a normal activity pattern (34) different from in vitro preparations (2). PN output activity showed an irregular respiratory rhythm (Figure 4, E–H). In *Glra3<sup>-/-</sup>* mice, the most stable phase activity was seen in the inspiratory bursts. Their phase duration was not affected (WT, 0.3177 ± 0.0078 s; *Glra3<sup>-/-</sup>*, 0.2968 ± 0.0079 s; *P* = NS), showing coefficients of variation (CV) that were comparable (WT,  $16.26\% \pm 0.90\%$ ;  $Glra3^{-/-}$ ,  $17.14\% \pm 1.10\%$ ; n = 5). The expiratory phase duration was slightly prolonged (WT,  $2.839 \pm 0.117$  s;  $Glra3^{-/-}$ ,  $3.874 \pm 0.576$  s; P = NS); however, the postinspiratory phase duration varied greatly (WT,  $0.082 \pm 0.007$  s;  $Glra3^{-/-}$ ,  $0.442 \pm 0.065$  s; P < 0.001), revealing a CV increase from  $18.01\% \pm 5.16\%$  in WT to  $67.85\% \pm 30.82\%$  in  $Glra3^{-/-}$  mice.

Systemic modulation of specific receptors and compound network reaction. Because GlyR $\alpha$ 3 appears to be an important GlyR subtype in the pre-BötC and adjacent VRG, glycinergic inhibition should be potentiated by 5-HTR<sub>1A</sub> activation, which inhibits AC and thus reduces PKA activity. In the in vivo-like preparation, we applied drugs systemically via intraarterial infusion (10-20 µl/min flow rate), while the output of the respiratory network was measured by PN recordings. Moving averages of PN burst discharges measured together with burst frequencies allowed an estimate of relative PN minute activity (mPNA; Figure 5), corresponding to the physiological respiratory minute volume before and after stimulation and/or following selective inhibition of specific receptors. After application of the 5-HTR<sub>1A</sub> agonist 8-OH-DPAT (10 µM), relative mPNA was augmented 28% (from control 1.00 ± 0.08 AU to 1.28 ± 0.13 AU; *P* < 0.05; n = 5), as evidenced by enhanced burst frequency (from control 21.70 ± 1.79 bursts/min to 27.70 ± 2.73 bursts/min; P < 0.05; Figure 5A). This finding was similar to the 5-HTR<sub>1A</sub>-mediated reinforcement of glycinergic inhibition. A remarkable observation was that the stimulatory effects of 10 µM 8-OH-DPAT were entirely blocked by application of 2 µM of the GlyR antagonist strychnine. Strychnine application alone provoked a significant increase of relative mPNA (from 1.00  $\pm$  0.21 AU to 1.82  $\pm$  0.19 AU, *P* < 0.05; *n* = 5), but remained unaltered after additional activation of 5-HTR<sub>1A</sub> with 8-OH-DPAT (1.87 ± 0.15 AU, *P* = NS; data not shown).

Absence of respiratory network modulation in Glra3-/- mice. As anticipated, selective stimulation of 5-HTR<sub>1A</sub> by 10  $\mu$ M 8-OH-DPAT in *Glra3*<sup>-/-</sup> mice failed to augment relative mPNA (0.99  $\pm$  0.07 AU versus control  $1.00 \pm 0.06$  AU, P = NS) and burst frequency (18.40  $\pm 1.16$ bursts/min vs.  $18.20 \pm 1.25$  bursts/min, P = NS; n = 5; Figure 5B). This indicates that GlyRa3 is the only significant molecular target for 5-HTR<sub>1A</sub>-mediated modulation of PKA with regard to the control of breathing. Although GlyRa1 protein was still expressed in the Glra3-/- mouse (Figure 4C), it is not subject to modulation by PKA (30). Following the notion that regular breathing critically depends on adaptable glycinergic inhibition (8-10), and to demonstrate that this modulation is clinically relevant, we tested whether 5-HTR<sub>1A</sub>-induced reinforcement of inhibitory postsynaptic glycinergic currents could compensate for a general opioid-produced depression of neuronal excitability in the network (35). Systemic activation of 5-HTR<sub>1A</sub> in WT mice was indeed effective in averting opioid-induced respiratory apnea. The relative mPNA was strongly decreased after application of 18.9 nM fentanyl (decline from control 1.00  $\pm$  0.10 AU to 0.04  $\pm$  0.03 AU, *P* < 0.01; *n* = 5), but recovered after 5-HTR<sub>1A</sub> activation with 10  $\mu$ M 8-OH-DPAT to 0.94  $\pm$  0.05 AU (P < 0.01). The burst frequency changed from control levels of to  $1.00 \pm 0.76$  bursts/min to  $23.00 \pm 2.33$  bursts/min (*P* < 0.01) after fentanyl application and recovered to  $21.70 \pm 1.12$  bursts/min after administration of 8-OH-DPAT (P < 0.01; n = 5; Figure 5C). This is in accordance with previous studies on other rodent models (21, 25, 36, 37). The effect was blocked in Glra3-/- mice and replaced by an additional depression of rhythmic discharges (relative mPNA, from control 1.00 ± 0.05 AU to 0.07 ± 0.05 AU after 18.9 nM fentanyl,  $P \le 0.01$ ; with persistent depression to 0.05 ± 0.04 AU after 10  $\mu$ M



#### Figure 4

Characterization of the respiratory phenotype of  $Glra3^{-/-}$  mice. (A) Schematic of the pre-BötC with its anatomical landmarks. (B) Overview of C, which shows dense GlyRa1 immunoreactivity in the pre-BötC of  $Glra3^{-/-}$  mice. Scale bars: 200 µm (B); 20 µm (C). (D) RT-PCR analysis of pre-BötC tissue of WT and  $Glra3^{-/-}$  mice revealed expression of known components of glycinergic synapses. In WT mice, the receptor transcripts of GlyRa3 and GlyRa1 were the 2 most abundant in the pre-BötC. (E) Respiratory pattern of the WT mouse represented by the PNA in original and integrated (PNA<sub>int</sub>) forms. (F) In contrast to WT mice,  $Glra3^{-/-}$  mice showed high variability in the post-inspiratory phase. (G) Post-inspiratory disturbances (red) of  $Glra3^{-/-}$  at higher magnification, with superposition of 3 bursts derived from F. (H) CV (± SEM) of the duration of each phase of the respiratory cycle (inspiration, post-inspiration, and expiration) of WT versus  $Glra3^{-/-}$  mice.

8-OH-DPAT, P = NS; n = 5; Figure 5D). Taken together, our results demonstrate that pharmacotherapy with 5-HTR<sub>1A</sub> agonists induces specific augmentation of glycinergic currents via GlyR $\alpha$ 3 and thereby protects against opioid-induced apnea.

#### Discussion

In vivo, respiratory activity is generated by the pre-BötC, which communicates with the adjacent VRG and also with respiratory

neurons in the pons (1, 3, 4). In all mammalian species tested, normal rhythm generation depended on reciprocal inhibitory interactions between antagonistic groups of neurons, wherein glycinergic interneurons control respiratory phase transitions during pre- and postinspiration (refs. 10, 13, 38, 39, and Supplemental Figure 1; supplemental material available online with this article; doi:10.1172/JCI43029DS1). Such synaptic feedback from distributed neuronal activities must be integrated in the rhythm-generat-



ing pre-BötC (1, 28). It is therefore not surprising that greater than 50% of neurons within the pre-BötC are glycinergic, as evidenced by strong expression of GlyT2 (21, 40). Furthermore, rhythmic respiratory activity is disturbed when glycinergic synapses dysfunction as a result of genetic lesions (13, 41) or when GlyRs are blocked by strychnine (11, 12).

The present study revealed that both excitatory and inhibitory respiratory neurons of the pre-BötC and adjacent VRG abundantly expressed GlyR $\alpha$ 3, a key target for modulation by PKA (30) that operates under the permanent control of a variable 5-HT release from Raphé neurons (32, 42). Selective activation of G<sub>i/o</sub>-coupled 5-HTR<sub>1A</sub> inhibited PKA, which consequently led to reduced phos-

#### Figure 5

Altered modulation of the respiratory network in Glra3-/- mice. (A and B) Activation of 5-HTB1A using 8-OH-DPAT increased respiratory activity in WT mice (A), whereas Glra3-/- mice did not show a significant change of mPNA (B). Black traces represent integrated mPNA under control conditions. (C) Systemic administration of fentanyl significantly reduced or blocked mPNA in WT mice. Respiratory activity was recovered to control levels after stimulation of 5-HTR<sub>1A</sub> with 8-OH-DPAT. (D) Glra3-/- mice responded to fentanyl-induced µOR stimulation with an additional decline of spontaneous rhythmic discharges and reinforcement of respiratory depression that could not be recovered by 5-HTR<sub>1A</sub> stimulation with 8-OH-DPAT. Data are mean ± SEM. \**P* < 0.05; \*\**P* < 0.01.

phorylation of GlyR $\alpha$ 3, thereby potentiating  $I_{Gby}$ . Interestingly, previous studies have demonstrated that 5-HTR<sub>1A</sub> signal transduction proceeds via inhibitory Gi $\alpha$ 3 proteins (43), while  $\mu$ OR signaling is mediated through Gi $\alpha$ 2 (44, 45), which suggests that both pathways operate independently. Consistent with these findings, we found that enhancement of glycinergic inhibition via GlyR $\alpha$ 3 was controlled by 5-HTR<sub>1A</sub>, while it was unaffected by  $\mu$ OR stimulation (Figure 3 and Supplemental Figure 2).

Although 5-HTR<sub>1A</sub> signaling specifically targeted GlyRa3 at the cellular level, systemic application of 5-HTR1A agonists must exert both direct and indirect effects within the entire network. The pre-BötC is a clear target for serotonergic neuromodulation and possesses all the necessary molecular components, but neighboring regions of the VRG and even the PRG may also be involved. The activation of 5-HTR1A potentiated glycinergic currents in all postsynaptic neurons receiving glycinergic inputs, including inhibitory glycinergic neurons themselves (Figure 2). The 5-HTR1A-induced potentiation of postsynaptic GlyRa3 inhibition of glycinergic neurons will depress their output discharges and cause disinhibition in the postsynaptic neurons they innervate (10, 15). This is consistent with

a previous report (21) demonstrating that systemic 5-HTR<sub>1A</sub> stimulation induces powerful depression of the discharge of inhibitory early-inspiratory neurons. This releases antagonistic postinspiratory neurons from synaptic inhibition, allowing them to fire during the inspiratory phase, which ultimately terminates inspiration and consequently increases burst frequency. Importantly, however, respiratory rhythmicity is maintained, because periodic inhibition is potentiated and its membrane hyperpolarization establishes the biophysical condition for endogenous burst generation (46, 47).

Using our multidisciplinary approach, we have demonstrated that synaptic GlyRs are the critical target for 5-HTR<sub>1A</sub> modulation of respiratory network functions. The 5-HTR<sub>1A</sub>-induced reinforce-

ment of synaptic inhibition is an attractive target for therapeutic interventions aimed at counteracting various forms of arrhythmic breathing. Taking just one aspect, we demonstrated that potentiation of GlyR $\alpha$ 3 function by 5-HTR<sub>1A</sub> activation was capable of recovering opioid-induced apnea in WT mice, an effect that was ablated in *Glra3<sup>-/-</sup>* mice. We envisage that the delineation of the 5-HTR<sub>1A</sub>-GlyR $\alpha$ 3 signaling pathway will have several applications in translational medicine, for example, in the controlled pharmacological revival of spontaneous breathing after deep opiate narcosis in anesthesia. Similarly, by activating this pathway, we may be able to protect against life-threatening opiate overdose or poisoning with analgesics. Our findings could even lead to novel treatment strategies for inflammatory pain (30), since 5-HTR<sub>1A</sub> activation could oppose prostaglandin E2 receptor-mediated PKA phosphorylation of GlyRa3. Finally, given that Glra3-/- mice showed irregular respiratory rhythm under normal conditions, we would advocate that the GLRA3 gene be considered as a strong candidate for genetic screening in human disorders of rhythmic breathing.

#### Methods

Experimental procedures were performed in accordance with European Community and NIH guidelines for the care and use of laboratory animals. This study was approved by the Ethics Committee of Georg-August University (Göttingen, Germany).

#### Perfused brainstem-spinal cord preparation

Experiments on the in vivo-like in situ brainstem spinal cord preparation were performed on C57BL6 mice (P20-P25) or Glra3-/- mice (P30). Glra3-/ mice were generated by deleting exon 7 of Glra3 (encoding the pore-lining M2 transmembrane domain) using the Cre-LoxP gene targeting system. This targeted deletion is known to result in a loss of functional GlyR $\alpha$ 3 in spinal cord (30) and retina (48). For isolating the in situ brainstem-spinal cord from higher brain areas, animals were deeply anesthetized with halothane until apnea occurred and they were unresponsive to a forepaw pinch. Animals were then decerebrated at the precollicular level, cerebellectomized, and bisected below the diaphragm, and their skin was removed. The upper body was placed in a recording chamber and perfused retrogradely at 10-20 ml/min via the thoracic aorta with ACSF (1.25 mM MgSO4; 1.25 mM KH<sub>2</sub>PO<sub>4</sub>; 5 mM KCl; 125 mM NaCl; 2.5 mM CaCl<sub>2</sub>; 25 mM NaHCO<sub>3</sub>; 10 mM glucose; 0.1785 mM Ficoll 70) and aerated with carbogen (5% CO2, 95% O2; pH 7.35). The perfusate was warmed to 30°C (as measured in the skull base), filtered twice, and recirculated. Vecuronium bromide (3.9 µM) was added for muscle relaxation. The perfusion pressure was set to 50-65 mmHg. Using a glass suction electrode, PN discharges were recorded as an index of central respiratory rhythm. Drugs were added to the perfusate for specific pharmacological manipulation of serotonin, µOR, or GlyRs.

#### Rhythmic slice preparation

Transverse 200-µm slices were cut from the caudal medulla at the level of the pre-BötC with a vibroslicer (Campden Instruments). Slices were stored in artificial CSF (ACSF) at room temperature (i.e., 20°C–23°C) for at least 30 minutes before experiments were started. Subsequently, slices were transferred to the recording chamber and kept submerged by nylon fibers for mechanical stabilization. The chamber was mounted on an upright microscope (Axioscope FS; Zeiss) and perfused continuously with ACSF (20°C–23°C) at a flow rate of 5–10 ml/min. Whole-cell voltage-clamp recordings were obtained with a Multiclamp 700A amplifier (Axon Instruments). Patch electrodes were pulled from borosilicate glass capillaries (Biomedical Instruments) on a horizontal pipette puller (Zeitz-Instrumente) and filled with patch solution containing 125 mM KCl, 1 mM CaCl<sub>2</sub>, 2 mM MgCl<sub>2</sub>, 4 mM Na<sub>2</sub>ATP, 10 mM EGTA, and 10 mM HEPES (pH adjusted to 7.2 with KOH). Patch electrodes had DC impedances ranging 2-6 M $\Omega$ .

#### Generation of plasmids and transfection of cell lines

Generation of expression constructs. Brain tissue from an adult male mouse was explanted and used for total RNA isolation with the OLS RNA kit according to the manufacturer's instructions (OLS). The total RNA was used in 1-step RT-PCR (Invitrogen) using primer pairs for Glra3 (forward, 5'-ATGCCTTGGATAAGACTGCTTTC-3'; reverse, 5'-TTAGTCTTGCT-GCTGATGAATGTC-3') and Oprm1 (forward, 5'-ATGGACAGCAGCGCC-GGCCCAGGG-3'; reverse, 5'-TCACCTGCCAAGCTGGCCTTCCC-3'). The cycling program used for RT-PCR was as follows: initial reverse transcription at 55°C for 30 minutes; denaturation at 94°C for 2 minutes; 40 cycles of denaturation at 94°C for 15 seconds, annealing at 57°C for 30 seconds, and elongation at 68°C for 90 seconds; final elongation step at 68°C for 5 minutes. The resulting RT-PCR fragment was purified from the gel and cloned into pTarget expression vector (Promega). Sequencing validated the correct insert sequence. The fluorescently tagged fusion construct Oprm1mCherry was constructed by fusion PCR using pTarget-Oprm1 as a template with the primer pair Oprm1-F and Oprm1-cherry-R (5'-GTCCTCCTC-GCCCTTGCTCACCATCACTGGATGCTGTACACACCC-3').

Transfection of cell lines. HEK293 cells (ATCC no. CRL-1573) were maintained at 37°C in a humid atmosphere with 5% CO<sub>2</sub> and passaged every second day. For transfection, cells were seeded 24 hours prior to transfection at a density of approximately 500,000 cells in 6-well plates (Nunc). Cells were transfected with 3 µg DNA using 6 µl Lipofectamine (Invitrogen) in 2 ml OptiMEM (Invitrogen) per well and kept under normal culture conditions for 20 hours, after which the medium was replaced with fresh OptiMEM.

#### RT-PCR

For RT-PCR analysis, the VRG, including the pre-BötC, was dissected from corresponding 300-µm-thick cryostat sections of P30 WT and Glra3-/- mice. PCRs were performed as follows: initial denaturation at 99°C for 5 minutes; 32 cycles of denaturation 95°C 30 seconds, annealing 60°C 30 seconds, extension 72°C 30 seconds; final elongation for 5 minutes at 72°C. The following primer pairs were used for gene amplification: Glra1 (forward, 5'-CATTGTATTCTTCAGCCTTGC-3'; reverse, 5'-ACAAGTCAGGCTTC-CAGATG-3'), Glra2 (forward, 5'-CAAACCACTTCAGGGAAGC-3'; reverse, 5'-CAAATCCAGGGAATCATCTG-3'), Glra3 (exon 7 forward, 5'-GGATCCC-GGGCCTCCTTACC-3'; exon 9/10 reverse, 5'-TCCCTCACCTCATCATCC-GTGTC-3'), Glra4 (forward, 5'-TGTTCTCTACAGCATCAGATTG-3'; reverse, 5'-CAGGATGACGATGAGTAGGC-3'), Glrb (forward, 5'-GTACTTGT-GCCCATCTCAGC-3'; reverse, 5'-GTCAGTGCATCTGAGCCTCT-3'), Slc6a5 (forward, 5'-CACCACTACCATACCGGAG-3'; reverse, 5'-TCCACACAGACA-CAGGACC-3'), Slc6a9 (forward, 5'-AGTCAAGTCTTCAGGGAAAGTG-3'; reverse, 5'-TTGGTGATACTGATAATGACGC-3'), Gphn (forward, 5'-CCAA-GATCCTTCTTTGTTGGGTGGG-3'; reverse, 5'-AGAGAGCATGCCCAGAG-GTGTG-3'), Hprt1 (forward, 5'-CGGCAGCGTTTCTGAGCCAT-3'; reverse, 5'-TGAGCACACAGAGGGCCACA-3').

# Measuring reduced PKA-mediated phosphorylation of GlyR $\alpha$ 3 upon activation of 5-HTR<sub>1A</sub>

Western blotting, HEK293 cells transfected with expression plasmids coding for GlyR $\alpha$ 3 and 5-HTR<sub>1A</sub> were activated by the addition of 50  $\mu$ M 8-OH-DPAT (5-HTR<sub>1A</sub> agonist) in DMEM culture medium for 1 or 10 minutes, while HEK293 cells transfected with expression plasmids coding for GlyR $\alpha$ 3 and  $\mu$ OR were activated by 30 nM fentanyl ( $\mu$ OR agonist). For recovery, stimulation media was removed, and cells were incubated for 45 minutes in normal cell culture media. Activation was stopped by fast

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aspiration of medium and subsequent washes with TBS (Sigma-Aldrich). Cells were resuspended in TBS by pipetting and pelleted by centrifugation at 1,000 g for 1 minute. TBS was aspirated and replaced by homogenization buffer (20 mM Tris-HCl, pH7.4, and 1 mM EDTA). Cells were then homogenized by 30 strokes in a Dounce homogenizer. Cell lysates were centrifuged at 100 g for 5 minutes at 4°C to remove nuclei. Supernatants were transferred to a fresh tube and centrifuged at 21,000 g for 30 minutes at 4°C. The pellet, containing the membrane fraction, was solubilized in 20 µl Membrane Lysis Buffer (20 mM Tris-HCl, pH7.4; 1 mM EDTA; and 0.6% NP-40) supplemented with 20 µM Na-orthovandate and phosphatase inhibitor cocktail (Sigma-Aldrich) and incubated for 30 minutes at 4°C with occasional mixing. Because NP-40 interferes with SDS-PAGE, samples were dialyzed against homogenization buffer for 4-8 hours at 4°C. To ensure equal loading, the same amount of total protein was applied to all wells, with concentrations determined immediately before each gel run using the Bradford assay. For SDS-PAGE, samples were mixed with 4-fold sample buffer and reducing agent (Invitrogen) containing 20 µM Na-orthovandate and phosphatase inhibitor cocktail and boiled for 10 minutes. Proteins were electrophoretically separated using a 4%-12% Nupage Bis-Tris SDS-PAGE (Invitrogen) and transferred onto a PVDF membrane applying a current of 150 mA for 2.5 hours in a semidry transfer chamber. The efficacy of protein transfer was tested with both Ponceau staining of the membrane and Coomassie blue staining of the remaining protein in the gel. The reactions were blocked with 5% (w/v) BSA in TBS (pH 7.4) containing 0.1% (v/v) Tween 20 (TBS-T) for 60 minutes at room temperature and incubated with primary antibodies at room temperature overnight. All buffers used during dialysis, electrophoresis, blotting, and washing steps were supplemented with 20 µM Na-orthovandate. GlyRa3 phosphorylation was probed with a custom-made monoclonal antibody, mAb764 (1:250 dilution), which selectively recognizes the phosphopeptide NH<sub>2</sub>-CDEV<u>RESR</u>FSFTA-COOH (RESR consensus sequence shown by underline) containing GlyRa3 serine 346, the sole target for PKA phosphorylation in the GlyRα3 intracellular loop (30). After extensive washing, appropriate secondary HRP-conjugated antibodies (Dianova) were used at 1:500 dilution for 2 hours at room temperature. The color reaction was performed with a chemiluminescence kit (Roth). Corresponding immunoblots for GlyRa3 applying rabbit polyclonal antibodies against GlyRa3 (30) were used as an internal standard for each experiment to verify that all samples contained equal amounts of GlyRa3 protein. Using ELISA, we verified that the mAb had activity versus phospho-GlyRa3, but did not show any significant activity against control peptides for non-phospho-GlyRa3, phospho-GlyRa2 (NH2-CDVTRESRFSFSG-COOH), and phospho-GlyRα4 peptides (NH<sub>2</sub>-CDII<u>RESR</u>FYFRG-COOH).

Patch-clamp electrophysiology. HEK293 cells were grown in 10-cm culture dishes (Nunclon) in DMEM supplemented with 10% (v/v) fetal calf serum, 2 mM L-glutamine, 0.45% (w/v) glucose, and 100 U/ml penicillin-G plus 100 µg/ml streptomycin in a 5% CO<sub>2</sub>/air atmosphere at 37°C. Cells were harvested and plated onto poly-L-lysine-coated 22-mm coverslips and transfected 4-5 hours later using a modified calcium phosphate precipitation method. A total of 2 µg DNA per coverslip (pcDNA3-hGlyRa3L plus pEGFPN1-5HT<sub>1A</sub>) was incubated with 20 µl of 340 mM CaCl<sub>2</sub> and 24  $\mu l$  of 2-fold HBS for 30 minutes prior to dropwise addition to the plated cells. After 16 hours, HEK cells were used for electrophysiological recording. Patch electrodes had resistances of 4–5 M $\Omega$  and were filled with internal solution containing 120 mM KCl, 1 mM MgCl<sub>2</sub>, 1 mM CaCl<sub>2</sub>, 10 mM HEPES, 11 mM EGTA, 30 mM KOH, 2 mM ATP, and 0.5 mM GTP (pH 7.11) with 1M NaOH. The Krebs solution consisted of 140 mM NaCl, 4.7 mM KCl, 1.2 mM MgCl<sub>2</sub>, 2.5 mM CaCl<sub>2</sub>, 5 mM HEPES, and 11 mM D-glucose, pH 7.4. An Axopatch 200B amplifier (Axon) was used to record whole-cell currents from single HEK293 cells voltage-clamped at -40 mV.



Cells were visualized with a Nikon Diaphot 300 microscope configured for differential interference contrast to identify cells expressing 5-HTR<sub>1A</sub>–eGFP using epifluorescence. A U-tube rapidly applied 100  $\mu$ M glycine (exchange rate, approximately 50–100 ms) at intervals of 1 minute. 5-HT (1  $\mu$ M) was applied in a 10-second pulse via the U-tube in the presence and absence of the specific 5-HTR<sub>1A</sub> antagonist, WAY 100635 (2  $\mu$ M). In experiments using the  $\mu$ -OR, fentanyl (30 nM) was similarly applied via the U-tube. All currents were filtered by a Bessel filter (5 kHz, –36dB per octave). Data were recorded directly onto a Pentium IV 1.8-GHz computer into Clampex 8.0 via a Digidata 1322A (Axon) sampling at 200- $\mu$ s intervals.

#### Applied drugs

The following pharmacological substances were used for physiological experiments: 8-OH-DPAT (5-HTR<sub>1A</sub> agonist; Tocris), WAY 100635 (5-HTR<sub>1A</sub> antagonist; Sigma-Aldrich), fentanyl citrate salt ( $\mu$ OR agonist; Sigma-Aldrich), and strychnine hydrochloride (GlyR antagonist; Sigma-Aldrich).

#### *Immunohistochemistry*

Transgenic mice expressing eGFP under the control of the neuronal GlyT2 were provided by H.U. Zeilhofer (Institute of Pharmacology and Toxicology, ETH-Zürich, Zürich, Switzerland). GlyT2-eGFP mice (P22-P32) were deeply anesthetized with isoflurane (1-Chloro-2,2,2-trifluoroethyl-difluoromethylether; Abbott) until they were unresponsive to a forepaw pinch. A thoracotomy was performed, and animals were transcardially perfused with 50 ml of 0.9% (w/v) NaCl followed by 200 ml of 4% (w/v) phosphatebuffered PFA at a flow rate of 10 ml/min. The brains were removed, postfixed for 4 hours in 4% (w/v) PFA at 4°C, cryoprotected in 10% (w/v) sucrose for 2 hours, and then stored overnight in 30% (w/v) sucrose plus 0.1 M phosphate buffer at 4°C. A series of 40-µm transverse brainstem sections was cut using a freezing microtome (-25°C; Frigocut). The sections were permeabilized with 0.2% (v/v) Triton X-100 for 45 minutes and then transferred for 1 hour into a solution containing 5% (w/v) BSA in PBS to block nonspecific binding sites. The following primary antibodies were diluted (1-5 µg/ml) in a carrier solution containing 2% (w/v) BSA in PBS and applied for 48–72 hours at 4°C: guinea pig polyclonal antibody against 5-HTR1A (catalog no. AB5406; Millipore; ref. 49), rabbit polyclonal antibody against GlyRα3 (30), rabbit polyclonal antibody against GlyRα1 (catalog no. 146003; Synaptic Systems), guinea pig polyclonal antibody against µOR (catalog no. GP10106; Neuromics; ref. 50), guinea pig polyclonal antibody against NK-1R (catalog no. AB15810; Chemicon), and mouse monoclonal antibody against NeuN (catalog no. MAB377; Millipore). After incubation, sections were rinsed 3 times in PBS and subsequently incubated for 4 hours in the dark with species-specific secondary antibodies conjugated with fluorochromes Cy2, Cy3, or Cy5 (Dianova). The secondary antibodies were diluted 1:500 in 2% (w/v) BSA in PBS. Following the last incubation, the sections were rinsed 3 times in PBS, mounted onto microscope slides, and finally coverslipped with fluorescent mounting medium (DAKO). Analysis of neuronal immunofluorescence was performed with a confocal laser-scanning microscope (LSM 510 Meta; Zeiss). For data acquisition and analysis of the confocal images, we used LSM 510 Meta software (Zeiss). Subsequent imaging procedures (cell counting) were performed using ImageJ (http://rsb.info.nih.gov/ij/). The pre-BötC was identified by anatomical landmarks such as the principal nucleus of the inferior olive and the nucleus ambiguus and by corresponding sections stained for a known pre-BötC marker, the substance P-reactive NK-1R. Anatomical structures were described as previously published (51).

#### Statistics

Data from pharmacological experiments of perfused brainstem preparations were statistically analyzed using repeated-measures ANOVA with Bonferroni multiple-comparison test and were performed using Graph-Pad Prism (version 5.0c for Mac OSX; GraphPad Software). Differences were considered statistically significant at the P < 0.05 level. To quantify the PN activity from electrophysiological recordings, a representative measurement of 1-minute duration before and after each drug application (10-15 minute interval) was integrated with LabChart 7 software (ADInstruments). The results, given as integrated mPNA (units of V•s), were normalized to the control, defined as 1.0 (n, no. animals). Data are presented as the mean ± SEM of AU. The differences in the time durations of inspiration, post-inspiration, and expiration analyzed for each burst per representative 1-minute period of the 3 phases of the respiratory cycle of WT and *Glra3<sup>-/-</sup>* mice derived from integrated recordings of PN activity (n, no. animals) were given as means ± SEM. Significance was statistically verified by the unpaired *t* test for each phase. In addition, the CV was used to illustrate the relative variability (SD divided by mean). Data are presented as mean CV ± SEM. Electrophysiological data of  $I_{Gly}$  recorded from HEK293 cells were normalized to  $I_{Gly}$  measured at 0 minutes, which was set to 1.0 (n, no. cells). Data of cell experiments were statistically analyzed with repeated-measures ANOVA followed by Bonferroni post-hoc test. Immunoblot data were normalized to non-

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stimulated cell sample, which was set to 1.0 after densitometric measurement of corresponding signals (*n*, no. experiments), statistically analyzed with 1-way ANOVA followed by Bonferroni post-hoc test, and presented as mean ± SEM of AU. Immunohistochemical data (cell counting) are presented as mean ± SEM of labeled cells within the bilateral pre-BötC region per section.

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